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JCC04 U.S. PTO09-04-01  
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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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11000 U.S. pro  
09/943903  
06/30/01**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005642.P003  
(maximum 12 characters)First Named Inventor Serge IoffeTitle: METHOD AND SYSTEM FOR FOR DISTRIBUTING MESSAGES TO AGENTSExpress Mail Label No. EL672747099USADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 15)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 3)**
5.  **Oath or Declaration (Total Pages 6)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies), or
    - ii.  paper
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  **Assignment Papers (cover sheet & documents(s))**

10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney

11.  English Translation Document (if applicable)

12.  a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations

13.  **Preliminary Amendment**

14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17.  Other: Copy of Postcard with Express Mail Certificate of Mailing

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation  
Of Prior Application No.:  Divisional  
Examiner \_\_\_\_\_  Continuation-in-part (CIP)  
Group Art Unit 2835

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME John P. Ward

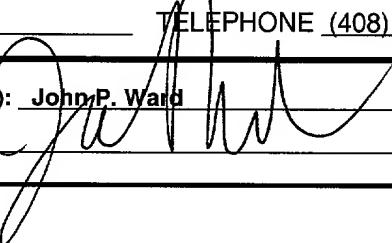
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): John P. Ward Registration No.: 40,216  
Signature:  Date: 8/28/01

## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 710.00

## Complete if Known:

Application No. Not Yet AssignedFiling Date HerewithFirst Named Inventor Serge IoffeGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 005642.P003

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 710.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>18</u>	<u>- 20** = 0</u>	<u>X 18.00 =</u>
Independent Claims	<u>3</u>	<u>- 3** = 0</u>	<u>X 80.00 =</u>
Multiple Dependent			<u>=</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

**FEE CALCULATION (continued)**

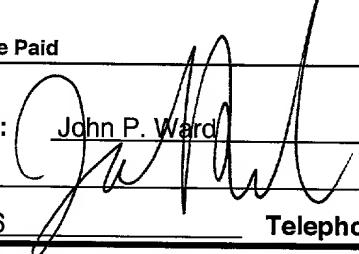
**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
Other fee (specify) _____			
Other fee (specify) _____			
SUBTOTAL (3) \$ 0			

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward

Signature:  Date: 8/22/01

Reg. Number: 40,216 Telephone Number: 408-720-8300

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672747099US  
Date of Deposit: 8/30/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

John Robert  
(Typed or printed name of person mailing paper or fee)

John Robert  
(Signature of person mailing paper or fee)

8/30/01  
(Date signed)

Serial/Patent No.: \*\*\*\* Filing/Issue Date: Herewith  
Client: Exigen Group Atty/Secty Initials: IPW/CAN/dkr  
Title: METHOD AND SYSTEM FOR DISTRIBUTING MESSAGES TO AGENTS  
Docket Due Date: \*\*\*\*  
BSTZ File No.: 005642 P003 Docket No. EL672747099US Check No. 44919  
Date Mailed: August 30, 2001 Month(s) Extension of Time 0 Amt: \$710.00  
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:  
 Amendment/Response (   pgs.)  Information Disclosure Statement & PTO 146 (   pgs.)  Check No.     
 Appeal Brief (   pgs.) (in triplicate)  Issue Fee Transmittal  Check No.     
 Application - Utility (15 pgs. with cover and abstract)  Notice of Appeal  Amt:     
 Application - Rule 1.53(b) Continuation (   pgs.)  Petition for Extension of Time      
 Application - Rule 1.53(b) Divisional (   pgs.)  Petition for     
 Application - Rule 1.53(b) CIP (   pgs.)  Postcard      
 Application - Rule 1.53(d) CPA Transmittal (   pgs.)  Power of Attorney (   pgs.)      
 Application - Design (   pgs.)  Preliminary Amendment (   pgs.)      
 Application - PCT (   pgs.)  Reply Brief (   pgs.)      
 Application - Provisional (   pgs.)  Response to Notice of Missing Parts      
 Assignment and Cover Sheet  Small Entity Declaration for Indep. Inventor/Small Business      
 Certificate of Mailing Express Mail  Transmittal Letter, in duplicate Utility (2 pages)  
 Declaration & POA (6 pgs.) Unsigned  Fee Transmittal, in duplicate (2 pages)  
 Disclosure Docs & Oig & Copy of Inventor's Signed Letter (   pgs.)  
 Drawings: 3 # of sheets includes 3 figures  
 Figs. 1, 2, and 3  
 Other: